

Applicant or Patentee:	University of New Mexico	Docket #:	UNME-0133-1
Serial or Patent Number:	To be assigned	Examiner:	
Filed or Issued:	Concurrently herewith	Art Unit:	
For:	METHODS FOR COVALENTLY ATTACHING PROTEINS TO SUBSTRATES		

**VERIFIED STATEMENT (DECLARATION) BY A UNIVERSITY
CLAIMING SMALL ENTITY STATUS UNDER 37 C.F.R. §§ 1.9(F) AND 1.27(C)**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the University empowered to act on behalf of the concern identified below:

Name of Concern: **University of New Mexico**

Address: **Hokona Hall, Room 357, Albuquerque, New Mexico, 87131**

I hereby declare that the above identified University qualifies as a small business concern as defined in *13 CFR 121.3-18*, and reproduced in *37 CFR 1.9(d)*, for purposes of paying reduced fees under *section 41(a) and (b) of Title 35, United States Code*, in that the number of employees of the concern, including those of its affiliates, **does not exceed 500 persons**. For purposes of this statement, (1) the number of employees is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the University identified above with regard to the matter described in:

- ☐ The specification filed herewith, with the title as listed above.
☒ The patent application identified above.
☐ The PCT International patent application identified above.
☐ The patent number identified above.

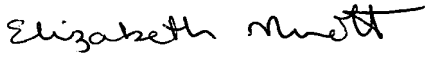
If the rights held by the above identified University are not exclusive, each individual, concern or organization having rights to the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under *37 CFR 1.9(c)* if that person made the invention, or by any concern who would not qualify as a small business concern under *37 CFR 1.9(d)*, or a nonprofit organization under *37 CFR 1.9(e)*. Each person or organization having any rights in the invention is listed below:

- ☒ No such person, concern or organization.
☐ Each such person, concern or organization as listed below:

FULL NAME	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
ADDRESS	

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (*37 CFR 1.28(b)*).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under *section 1001 of Title 18 of the United States Code*, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed.

NAME AND TITLE	DATE
Elizabeth Minott, Assistant University Counsel Research and Intellectual Property	
ADDRESS	SIGNATURE
Legal Services, Room 357, Hokona Hall-Zuni University of New Mexico Albuquerque, New Mexico 87131	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : TO BE ASSIGNED
Applicant : NELSON, ET AL.
Filed : CONCURRENTLY HERewith
Title : METHODS FOR COVALENTLY ATTACHING NUCLEIC ACIDS TO
SUBSTRATES

Art Unit : TO BE ASSIGNED
Examiner : TO BE ASSIGNED

Atty Docket No. : UNME-0133-1

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ASSOCIATE POWER OF ATTORNEY

Sir:

I hereby appoint Mark J. Guttag, Registration Number 33,057, Steven J. Prewitt, Registration Number 45,023, and Karlana D. Schwing, Registration Number P-52,521 as my associate attorneys in the above-captioned application, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent Office connected therewith.

However, please continue to address all future communications to the undersigned attorney at the following:

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Respectfully submitted,



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